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100	<b>Consultancy Services: Estimation of potential value of</b>
ASSAM PROJECT ON	ecosystem services and development of REDD+ project for
FOREST & BIODIVERSITY	Assam-(TA-9)
CONSERVATION	Procurement Package No. AVOM-PVE-C-ES-2/34/CDM-2/35

- 1. The Government of India has obtained a loan from the French Development Agency (AFD) and will be passed on to the Government of Assam as per statutory provisions to finance the Assam Project on Forest and Biodiversity Conservation (APFBC). The main objective of the Project is to enable sustainable forest management and biodiversity conservation in Assam through multi-layer integrative planning involving participation of forest dependent communities and focusing on poverty alleviation by exploring livelihood alternatives. Please visit website <u>www.apfbcs.nic.in</u> for more details on the project.
- 2. The APFBC Society, the implementing agency for the Project, will use part of the funds to contract the services of Technical Assistance to the Project Management Unit of the Society in implementation of project components and activities there in. The Technical Assistance is required to develop a pilot REDD+ project at the Lands cape level for the state of Assam, that could be consolidated to other State-level exercises.
- 3. The duration of the assignment is for 12 months. The likely commencement of the assignment is Feb / March 2016.
- 4. The location of the Technical Advisor is the State of Assam with a permanent base in Guwahati.
- 5. The APFBC Society invites Expression of Interest to provide services from eligible and interested Firms having relevant project experiences. The EOI in the prescribed format as given at website <u>www.apfbcs.nic.in</u> should provide adequate information indicating their credentials and qualifications, competence and resources available (brochures, description of similar consulting services, experience in similar conditions, number of officers / Staff available and availability of appropriate skills among staff, infrastructure available and balance sheet of the last three years.
- 6. Willing firms may submit CVs those match the required academic/ professional qualification/s, project related similar experience and overseas / country experience.
- 7. The firms should <u>not</u> have been blacklisted or debarred by Government of India and any State Government or public sector undertaking or autonomous bodies or funding agency during last five years. The bidders will provide an undertaking stating that they are not blacklisted or debarred during last five years by any donor or funding agency, Government of India or State Government, Public Sector Undertaking organizations in India.
- 8. Expression of Interests will be evaluated based on the afore said criteria and recruited through and in accordance with the procurement procedures in force i.e. the World Bank Guidelines of Procurement of Consultancy Services, 2011. Request for Proposals will be issued only to short listed organizations who have submitted their Expression of Interest.
- 9. Only the firms/joint ventures/consortia having experience and eligibility as per the criteria given below and the first 4 candidates in order of markings shall be considered for short listing in the light of the evaluation criteria approved by the competent authority.

Evaluation criteria

Sr. No.	r. No. Information Sought	
		Marks
A)	Company Information	
i.	Company/ Consortium/ Association Partners	5
ii.	Turnover in last three years – 2012, 2013, 2014	10
iii.	ii. No of Years of Experience of the Company providing technical consultancy in India/ Developing Countries(experience of lead partner + other JV members may be provided separately)	
B)	Project Management Skills	
	5 no of Projects to be evaluated, each having 5 marks. Projects of comparable size and requirement will be given higher weightage (experience of lead partner + other JV members can be considered).	25
C)	C) Technical Expertise (professional staff) – Technical expert having experience in as per para 2 above. Short CV of Key Project staff that will be proposed for this project having relevant experience shall be provided. CV should not be more than 1 page.	
D)	Experience in India and/or Developing Countries (on-going as well as completed projects)	10
E)	Experience in working for bi-lateral donor agency funded projects – such as French Development Agency (AFD) / JICA/ ADB/ DFID/ World Bank, ADB, UNDP,	5

10. Expression of Interest (1 original and 4 copies), in English language, must be delivered at the address below on before on 22<sup>th</sup> Jan 2016 (by 3 pm) and should clearly be identified: "<u>Request for EOI for Estimation of potential value of ecosystem services and development of a pilot REDD+ project for Assam TA-9" for the Project Management Unit of the Assam Project on Forest and Biodiversity Conservation".</u>

Additional PCCF (Biodiversity and Climate Change and Project Director – PMU), Assam Project on Forest and Biodiversity Conservation Society

Office of the PCCF and HoFF, Assam Forest Department, Rehabari, Guwahati. 781 008.

Email: pd.pmu.apfbc.nic.in

## **Expression of Interest Forms:**

## Contents

- A Transmittal Letter of Expression of Interest
- B. GENERAL INFORMATION

General Experience Record

Form 1

Form 2

### C. PARTICULAR EXPERIENCE RECORD

Details of Consulting Services Contracts for Related Field Projects

Personnel Capabilities

Summary: Core Skilled Manpower

Form 3 and Form 3(a)

Form 4 and Form 4 (a

Form 5 CV of proposed expert

## D FINANCIAL CAPABILITY

Form 6

## **Transmittal Letter for Expression of Interest**

[letterhead paper of the Applicant or partner responsible for a consortium, including full postal address, telephone no., and fax no.] Date:....

#### To: The **Project Director**

Project Management Unit, AFFBC Society Office of the PCCF and HoFF, Rehabari, Guwahati-781014 e-mail < pd.pmu.apfbc@nic.in>

Sub: Assam Project on Forest and Biodiversity Conservation (APFBC) Consulting Services for Estimation of potential value of ecosystem services and development of REDD+ project for Assam TA-9 Procurement Package No. AVOM-PVE-C-ES-2/34/CDM-2/35

#### Submission of Expression of Interest of our organization

Ref: Advertisement dated.....

Sir,

1. Being duly authorized to represent and act on behalf of ...... (hereinafter referred to as "the Applicant"), and having reviewed and fully understood all of the information provided in the Notice Inviting Expression of Interest, the undersigned hereby expresses its interest in and applies to be prequalified and short-listed by yourselves for the consulting services for the Assam Project on Forest and Biodiversity Conservation

2. Attached to this Expression of Interest are copies of original documents defining:

(a) The Applicant's legal status, registration details; and

(b) The place of incorporation/ organization/ institution (for applicants who are corporations/ organizations/ institutes), or the place of registration and the nationality of the Board of Directors (for applicants who are partnerships or individually-owned firms).

3. The PMU, APFBC Society and Assam Forest Department and its authorized representatives are hereby authorized to conduct any inquiries or investigations to verify the statements, documents, and information submitted in connection with this Expression of Interest, and to seek clarification from our bankers and clients regarding any financial and technical aspects. This Expression of Interest will also serve as authorization to any individual or authorized representative of any institution referred to in the supporting information, to

provide such information deemed necessary to verify statements and information provided in this Expression of Interest, such as the human resources, experience, and competence of the Applicant.

4. The PMU, APFBC Society and its authorized representatives may contact the following for reference checks: (minimum 3)

### 1. Name of Organization /client

Year in which project completed

Experience inquiries			
Contact	Telephone		
	Email		
Personnel inquiries			
Contact	Telephone		
	Email		
Financial inquiries			
Contact	Telephone		
	Email		

1. Name of Organization /client

Year in which project completed

Experience inquiries				
Contact	Telephone			
	Email			
Personnel inquiries				
Contact	Telephone			
	Email			
Financial inquiries				
Contact	Telephone			
	Email			

#### 3. Name of Organization /client

Year in which project completed

Experience inquiries		
Contact	Telephone	
	Email	
Personnel inquiries		
Contact	Telephone	
	Email	
Financial inquiries		

Contact	Telephone
	Email

5. This Expression of Interest is made with the full understanding that:

(a) All information submitted in this Expression of Interest for qualification and selection for short-listing will be subject to verification at the time of submitting proposal by short-listed applicants;

(b) The PMU, APFBC Society reserves the right to reject or accept any application, cancel the qualification and selection for short-listing process at any stage without giving any reasons, and reject all applications;

(c) The PMU, APFBC Society shall not be liable for any such actions and shall be under no obligation to inform the Applicant of the grounds or reasons for them.

7. The undersigned declare that the statements made and the information provided in this Expression of Interest are complete, true, and correct in every detail.

8. We hereby given an undertaking that our firm is blacklisted or debarred by any Central, State Government or Public Sector Undertaking and / or Autonomous body or externally aided project agencies during last five years.

9. We hereby submit all filled in prescribed proforma and attached documentary evidence in support of statements made in the proforma.

Signed

Name

For and on behalf of

#### **EXPRESSION OF INTEREST**

Assam Project on Forest and Biodiversity Conservation (APFBC) Consulting Services for Estimation of potential value of ecosystem services and development of REDD+ project for Assam-(TA-9) Procurement Package No. AVOM-PVE-C-ES-2/34/CDM-2/35

#### **FORM (1)**

#### A. General Information

All individual firms applying for pre-qualification and selection for short-listing are requested to complete the information in this form. Nationality information should be provided for all owners or applicants who are partnerships or individually-owned firms.

1	Name of firm	
2	Head office address	
3	Telephone	Contact
4	Fax	
5	Place of incorporation / registration Yea	r of incorporation / registration
6	Legal Status	
	Company / Trust / Society / Autonomou	s Body constituted by Govt./
	Other – Specify	
7	Registration Details	
	Under which Act	
	Registration No.	
	Registering Authority	
	Place of Registration	
	Date	
8	Contact Details	
	Name	
	Designation	
	Coordinates	

Telephone
Cell
Email
Skype

# Board of Directors<sup>1</sup>`

Sr.	Name	Nationality	Designation
No			
1			
2			
3			
4			
5			

<sup>&</sup>lt;sup>1</sup> To be completed by all owners of partnership or individually-owned firms.

#### **EXPRESSION OF INTEREST FORM (2)**

Assam Project on Forest and Biodiversity Conservation (APFBC) Consulting Services for Estimation of potential value of ecosystem services and development of REDD+ project for Assam-(TA-9) Procurement Package No. AVOM-PVE-C-ES-2/34/CDM-2/35

## General Experience Record

Name of Applicant

All individual firms are requested to complete the information in this form. The information supplied should be the annual profits after taxes, and they should be converted to Indian Rupees if they were not in Indian Rupees at the rate of exchange at the end of the period reported. Information in this Form shall be the same as the information on the corresponding items of "Financial Capability" (Form (5).

Applicants should not be required to enclose testimonials, certificates, and publicity material

Financial Data (related to activities of consulting services only)

#### (Unit: INR)

Year	Annual turnover	Profit after taxes
1		
2		
3		

#### **EXPRESSION OF INTEREST FORM (3)**

Assam Project on Forest and Biodiversity Conservation (APFBC) Consulting Services for Estimation of potential value of ecosystem services and development of REDD+ project for Assam-(TA-9) Procurement Package No. AVOM-PVE-C-ES-2/34/CDM-2/35

## B. Particular Experience Record

To qualify, the Applicant shall be required to pass the specified requirements applicable to this form, as set out in the "Notice Inviting Expression of Interest". In addition, the information provided on the particular experience is used for ranking and selection of qualified applicants for short-listing.

On a separate page, using the format of Form (3a), the Applicant is requested to list all contracts in which consulting services were or are being provided by the Applicant for "Related Field Projects" during the last five (5) years. The information is to be summarized, using Form (3a), for each contract completed or under execution, by the Applicant or by each partner in case of Consortium. The value should be based on the currencies of the contracts at the time of award.

#### **EXPRESSION OF INTEREST FORM (3a)**

#### Assam Project on Forest and Biodiversity Conservation (APFBC) Consulting Services for Estimation of potential value of ecosystem services and development of REDD+ project for Assam-(TA-9) Procurement Package No. AVOM-PVE-C-ES-2/34/CDM-2/35

#### Details of Consulting Services Contracts for Related Field Projects

Name of Applicant

All individual firms are requested to provide the information in the following form.

### Use a separate sheet for each contract/similar project experience.

	Serial Number of co	ontract		of totalcontracts
			presente	ed in Form (3a) by the
			Applica	nt
1	Name of contract			
	Country where contra	ract is executed		
2	Name of employer			
3	Employer's address	and telephone numb	er	
4	Special features of t	he project for which	consulting services	were provided under this
	contract	1 0	C	-
5	Contract role (check	c one)		
	Sole contractor		Subcont	ractor
6				ard for current contracts,
		nount:		
	· Sub-Contract Amount (if the role was sub contractor): (amount &			
	name of currency)			
	$\cdot$ Responsible Contract Amount (if the role was partner in a consortium):			
	(amount & name of currency) (percentage of share)%			
7	Date of award			completion
8	Total man months of service provided by the Applicant (or each party) and			each party) and
	Contract/subcontrac	t duration (years and	months)	
	Man/month	1S	years	months
9	Person Months prov	vided by the Applicar	nt (or each partner)	under this contract (Add rows
				than one experts were
	provided in the same	e area of expertise)	-	-
	Area of Expertise	Experts engaged in	the area in the	Total man/months provided
	-	left		by the expert in the
				left for this contract and
				duration
		Name & years of	Degrees/diploma	

	experience in the profession as of the time of assignment	and area of specialty as of the time of assignment	
			Man/Month From to
			Man/Month Fromto

#### **EXPRESSION OF INTEREST FORM (4)**

Assam Project on Forest and Biodiversity Conservation (APFBC) Consulting Services for Estimation of potential value of ecosystem services and development of REDD+ project for Assam-(TA-9) Procurement Package No. AVOM-PVE-C-ES-2/34/CDM-2/35

## **Personnel Capabilities**

Name of Applicant

In-house core competent skilled manpower is essential to contract execution. For specific areas of expertise essential to contract execution, the Applicants should provide the name of at least one employee (employed by the Applicant, i.e., on the payroll in the past one year) in any one of the specified areas of expertise. He/she shall meet the qualification requirements for his/her designated area of expertise that are stipulated in the Notice Inviting Expression of Interest. The data on their experience should be supplied in separate sheets using one Form (4a) for each.

1	Area of Expertise:				
	Name	Qualifications	Specialization	No of years of experience in relevant field	
2	Area of Expertise				
	Name of the Employee				
	Name of the Employer				

### **EXPRESSION OF INTEREST FORM (4a)**

#### Assam Project on Forest and Biodiversity Conservation (APFBC) Consulting Services for Estimation of potential value of ecosystem services and development of REDD+ project for Assam-(TA-9) Procurement Package No. AVOM-PVE-C-ES-2/34/CDM-2/35

## Summary: Core Skilled Manpower

Name of Applicant		

Area of Expertise			
Employee information	1. Name	2. Date of birth	
	3. Professional qualifications (Education-University and/or		
	diploma, year of graduation or completion,		
	degree or diploma ear	ned; Publications; Association with	
	professional societies,	Professional	
	certifications if any)		
Present employment	4. Name of employer		
	Address of employer		
	Employed since :	(Month Year)	
	Telephone	Contact (manager / personnel officer)	
	Fax		
	Job title of candidate	Years with present employer	

Summarize professional experience over the last 10 years in reverse chronological order. Indicate particular technical and managerial experience relevant to the Project.

From	То	Company / Project / Position / Relevant professional experience

### **EXPRESSION OF INTEREST FORM (5)**

Assam Project on Forest and Biodiversity Conservation (APFBC)

#### Consulting Services for Estimation of potential value of ecosystem services and development of REDD+ project for Assam-(TA-9) Procurement Package No. AVOM-PVE-C-ES-2/34/CDM-2/35

### CURRICULUM VITAE (CV)

Position Title and No.	TA No.
Name of Expert:	{Insert full name}
Date of Birth:	{day/month/year}
Country of	
Citizenship/Residence	

Education: {List college/university or other specialized education, giving names of educational institutions, dates attended, degree(s)/diploma(s) obtained}

Employment record relevant to the assignment: {Starting with present position, list in reverse order. Please provide dates, name of employing organization, titles of positions held, types of activities performed and location of the assignment, and contact information of previous clients and employing organization(s) who can be contacted for references. Past employment that is not relevant to the assignment does not need to be included.}

Period	Employing organization and your title/position. Contact infor for references	Country	Summary of activities performed relevant to the Assignment
[e.g., May 2005- present]	[e.g., Ministry of, advisor/consultant to For references: Tel/e- mail; Mr. Hbbbbb, deputy minister]		

Membership in Professional Associations and Publications:

\_

Language Skills (indicate only languages in which you can work): \_\_\_\_\_

Adequacy for the Assignment:

Reference to Prior Work/Assignments that Best Illustrates Capability to Handle the Assigned Tasks

Expert's contact information: (e-mail .....)

#### Certification:

I, the undersigned, certify that to the best of my knowledge and belief, this CV correctly describes myself, my qualifications, and my experience, and I am available to undertake the assignment in case of an award. I understand that any misstatement or misrepresentation described herein may lead to my disqualification or dismissal by the Client.

{day/month/year}

Name of Expert Date Signature

{day/month/year}

Name of authorized Date Representative of the Consultant (the same who signs the Proposal)

### **EXPRESSION OF INTEREST FORM (6)**

Signature

Assam Project on Forest and Biodiversity Conservation (APFBC) Consulting Services for Estimation of potential value of ecosystem services and development of REDD+ project for Assam-(TA-9) Procurement Package No. AVOM-PVE-C-ES-2/34/CDM-2/35

## **Financial Capability**

Name of Applicant

Applicants should provide financial information to demonstrate that they meet the requirements stipulated in the Notice Inviting Expression of Interest. Each applicant or partner of a consortium must fill in this form. If necessary, use separate sheets to provide complete banker information. A copy of the balance sheets and statement of profit and losses should be attached.

Banker	Name of banker	
	Address of banker	
	Telephone Contact name and title	
	Fax	

Summarize actual assets and liabilities in Indian Rupees equivalent (at the rates of exchange current at the end of each year) for the previous three years.

Financial information in Indian Rupees equivalent	Actual: previous three years (use the accounting year of the applicant or partner)		
	Accounting Year 1	Accounting Year 2	Accounting Year 3.
1. Total assets			
2. Current assets			
3. Total liabilities			
4. Current liabilities			
5. Sales			
<i>Of which consulting services only</i>			
6. Ordinary Profits			
<i>Of which consulting services only</i>			
7. Profits after taxes			
<i>Of which consulting services only</i>			

Please note: Attach audited financial statements for the last three accounting years (for the individual applicant or each partner of a consortium) or financial statements certified by a registered accountant and supported by copies of tax returns, if audits are not required by the laws of their countries of origin.

1. Firms owned by individuals, and partnerships, may submit their balance sheets certified by a registered accountant, and supported by copies of tax returns, if audits are not required by the laws of their countries of origin.